Rec'd PCT/PTO 3 0 JAN 2002

Docket No.: PF-0636 USN

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on January 4, 2002.

Printed: Clara Alcazar

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tang et al.

Title:

HUMAN CELL SURFACE RECEPTORS

Serial No.:

09/831,458

Filing Date:

To Be Assigned

Examiner:

To Be Assigned

Group Art Unit:

To Be Assigned

Box PCT

Commissioner for Patents Washington, D.C. 20231

### TRANSMITTAL FEE SHEET

Sir:

Transmitted herewith are the following for the above-identified application:

1. Return postcard;

- 2. Response to Notification of Missing Requirements Under 35 U.S.C. § 371 (1 pg.);
- 3. Copy of Notification of Missing Requirements dated 11/16/01 (2 pgs.);
- 4. Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.);
- 5. One (1) CRF Diskette containing the computer-readable information for the Sequence Listing; and
- 6. Courtesy copy of executed Declaration and Power of Attorney (6 pgs.).

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. A duplicate copy of this sheet is enclosed.

02/04/2002 UEDUVIJE 00000033 090108

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Respectfully submitted,

INCYTE GENOMICS, INC.

Diana Hamlet-Cox

Reg. No. 33,302

Direct Dial Telephone: (650) 845-4639

3160 Porter Drive

Palo Alto, California 94304

Phone: (650) 855-0555 Fax: (650) 849-8886

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Washington, D.Ch. 20231 on January 4, 2002
By:

Printed Name: Clara Alcazar

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. § 371

Sir:

In response to the "Notification of Missing Requirements" (copy attached) mailed by the United States Patent and Trademark Office on November 16, 2001, Applicants submit the following documents to complete the filing for the above-identified patent application:

- 1. Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.); and
- 2. One (1) CRF Diskette containing the computer-readable information for the Sequence Listing.

Note that items 1 and 2 on the "Notice to File Missing Requirements" are contradictory with respect to the Declaration; in fact, the complete Declaration was filed with the Application on May 8, 2001. A courtesy copy is attached herewith.

Applicants believe no fee is due with this paper. The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 4 January 20

Diana Hamlet-Cox Reg. No. 33,302

Direct Dial Telephone: (650) 845-4639

3160 Porter Drive

Palo Alto, California 94304 Phone: (650) 855-0555

Fax: (650) 845-4166

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UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY DOCKET NO

09/831,458

Y. Tom Tang
PF-0636 USN

INTERNATIONAL APPLICATION NO
PCT/US99/26742

I.A. FILING DATE PRIORITY DATE

11/12/1999
11/12/1998

Incyte Genomics 3160 Porter Drive Palo Alto, CA 94304

CONFIRMATION NO. 4361
371 FORMALITIES LETTER
CO0000000007081204\*

Date Mailed: 11/16/2001

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- · Copy of the International Search Report
- The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
  - Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
  - \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.
  - The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
    - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
    - APPLICANT MUST PROVIDE:
      - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
      - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

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- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### BARBARA A CAMPBELL

Telephone: (703) 305-3631

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY DOCKET NO
09/831,458	PCT/US99/26742	PF-0636 USN